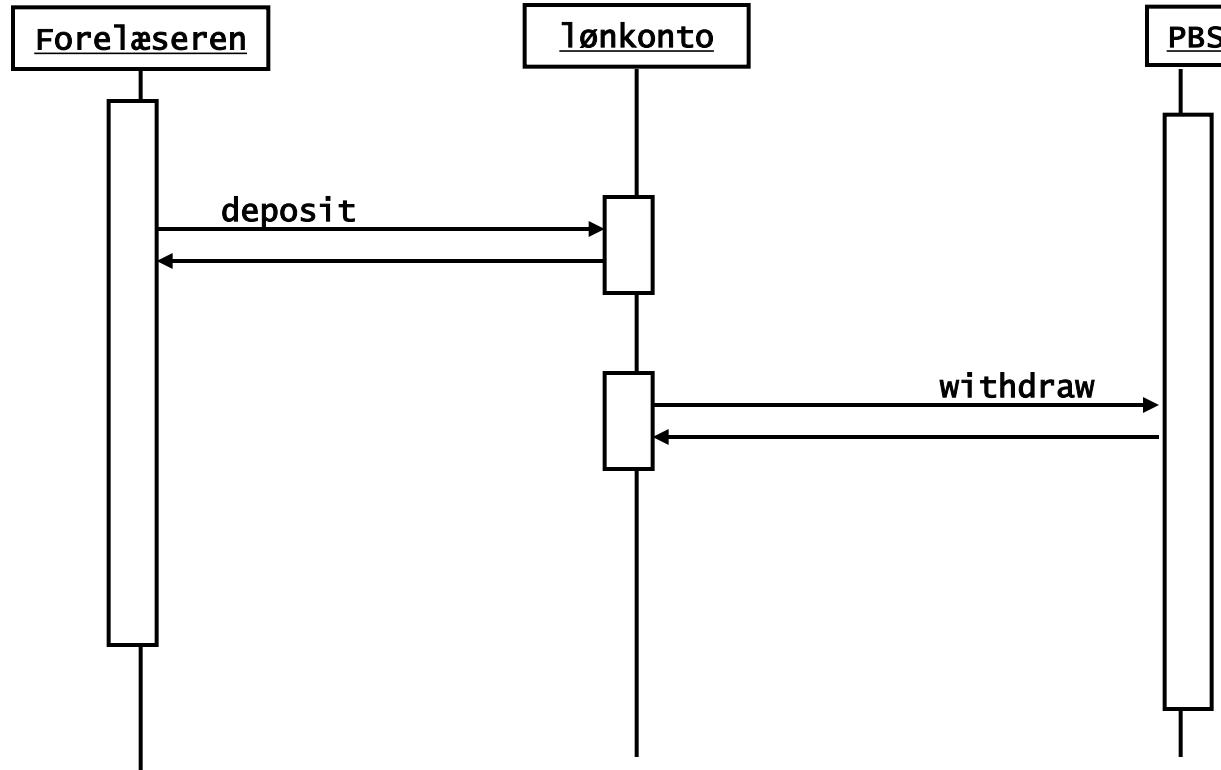




# **Software Engineering and Architecture**

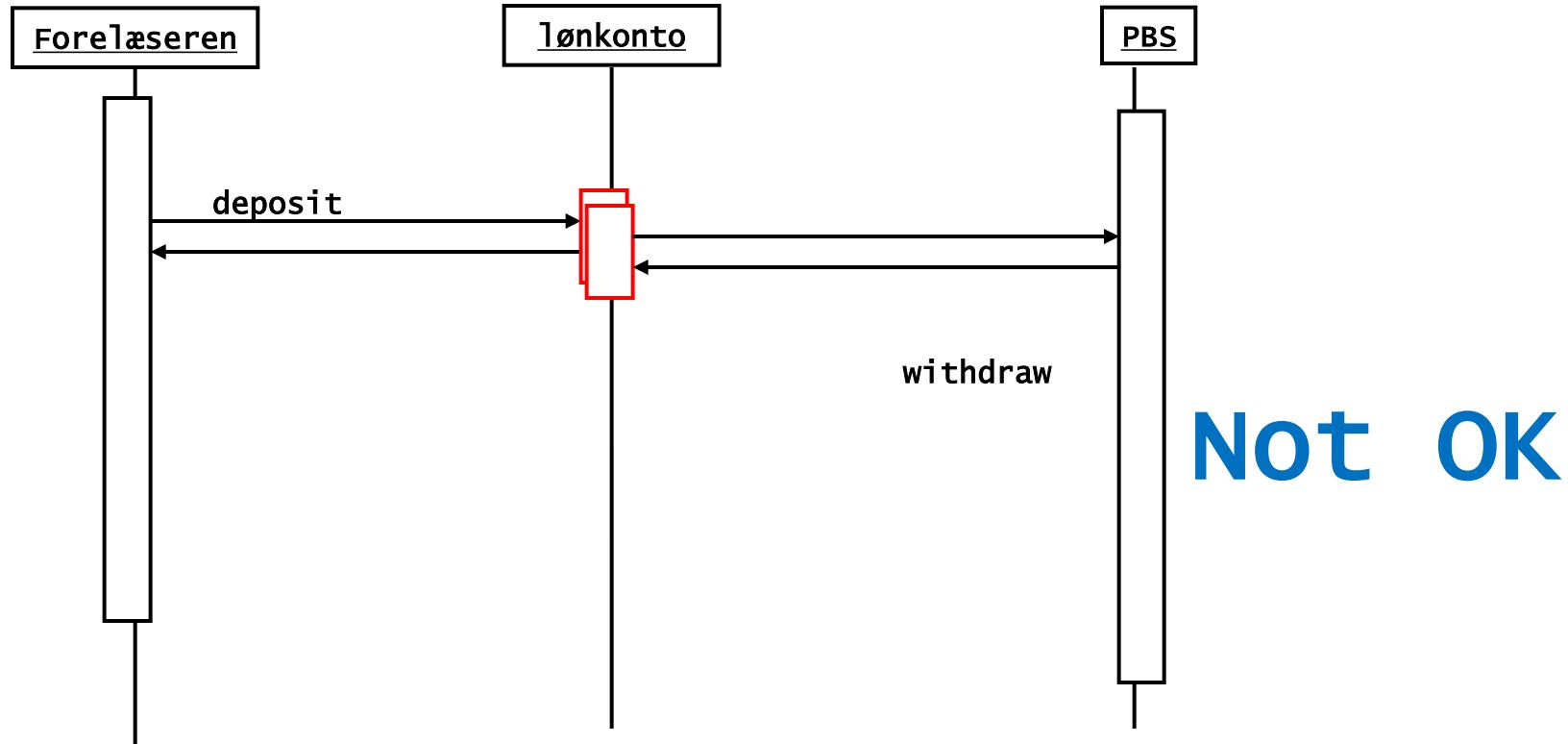
Concurrency  
Shared Resources

# Two Threads – One Account



OK

# Two Threads – One Account



# Example

- I insert 200kr while PBS withdraws 100kr. The balance is initially 50kr.

```
public boolean deposit(long amount){  
    if(amount >= 0){  
        return setBalance(getBalance()+amount);  
    }  
    else {  
        return false;  
    }  
}
```

```
public boolean withdraw(long amount){  
    if(amount >= 0){  
        return setBalance(getBalance()-amount);  
    }  
    else {  
        return false;  
    }  
}
```

- Hopefully, the result should be  $50+200-100 = 150$  kr.

# Concurrent Execution

```
if(amount >= 0){  
    return setBalance(getBalance()+200)  
}  
;  
}  
}  
else{  
    return false;  
}  
}
```

Scheduler thread switch!

```
if(amount >= 0){  
    return setBalance(getBalance()-100);  
}  
}  
else{  
    return false;  
}  
}
```

setBalance( 50+200 )

b=50

setBalance( 50-100 );

b=-50

b=250;



- The ‘System.out’ is a shared resource !

# Example: Shared Counter

```
public class CounterTest {  
  
    public static void main(String[] args) throws InterruptedException {  
  
        final Counter counter = new Counter();  
  
        // create 1000 threads  
        ArrayList<MyThread> threads = new ArrayList<MyThread>();  
        for (int x = 0; x < 1000; x++) {  
            threads.add(new MyThread(counter));  
        }  
  
        // start all of the threads  
        Iterator i1 = threads.iterator();  
        while (i1.hasNext()) {  
            MyThread mt = (MyThread) i1.next();  
            mt.start();  
        }  
  
        // wait for all the threads to finish  
        Iterator i2 = threads.iterator();  
        while (i2.hasNext()) {  
            MyThread mt = (MyThread) i2.next();  
            mt.join();  
        }  
  
        System.out.println("Count : " + counter.getCount());  
        System.out.println("Expected: " + 1000 * 10000);  
    }  
}
```

```
class Counter {  
    private Integer count = new Integer(0);  
  
    public void incrementCount() {  
        count++;  
    }  
    public int getCount() {  
        return count;  
    }  
}
```

```
// thread that increments the counter 10.000 times.  
class MyThread extends Thread {  
    Counter counter;  
  
    MyThread(Counter counter){  
        this.counter = counter;  
    }  
    public void run() {  
        for (int x = 0; x < 10000; x++) {  
            counter.incrementCount();  
        }  
    }  
}
```

# Example: Shared Counter

```
public class CounterTest {  
  
    public static void main(String[] args) throws InterruptedException {  
  
        final Counter counter = new Counter();  
  
        // create 1000 threads  
        ArrayList<MyThread> threads = new ArrayList<MyThread>();  
        for (int x = 0; x < 1000; x++) {  
            threads.add(new MyThread(counter));  
        }  
  
        // start all of the threads  
        Iterator<MyThread> i1 = threads.iterator();  
        while (i1.hasNext()) {  
            MyThread mt = (MyThread) i1.next();  
            mt.start();  
        }  
  
        // wait for all the threads to finish  
        Iterator<MyThread> i2 = threads.iterator();  
        while (i2.hasNext()) {  
            MyThread mt = (MyThread) i2.next();  
            mt.join();  
        }  
  
        System.out.println("Count : " + counter.getCount());  
        System.out.println("Expected: " + 100000000);  
    }  
}
```

```
class Counter {  
    private Integer count = new Integer(0);  
  
    public void incrementCount() {  
        count++;  
    }  
  
    public Integer getCount() {  
        return count;  
    }  
}  
  
// increment the counter 10.000 times.  
for (int i = 0; i < 10000; i++) {  
    counter.incrementCount();  
}
```

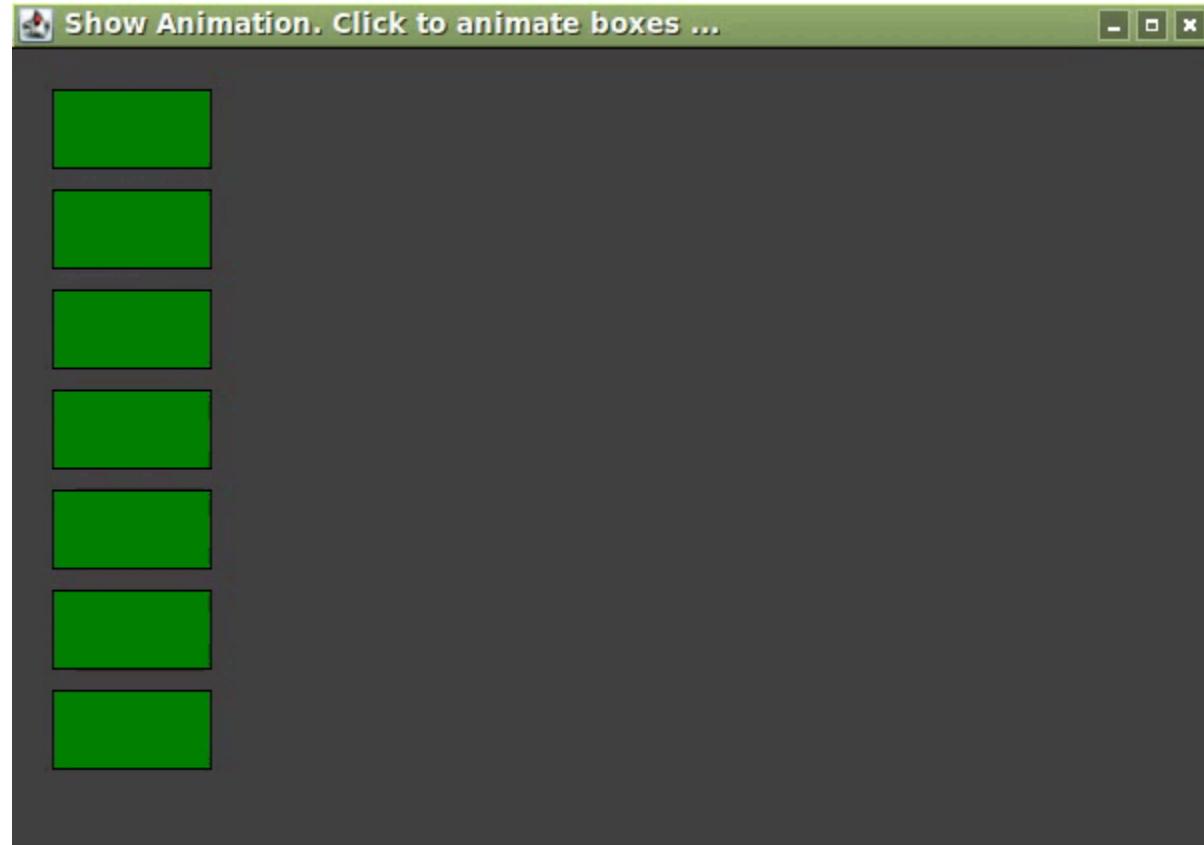
```
d:\proj\frsproject\concurrency>java CounterTest  
Count : 3492772  
Expected: 10000000  
  
d:\proj\frsproject\concurrency>java CounterTest  
Count : 3547105  
Expected: 10000000  
  
d:\proj\frsproject\concurrency>java CounterTest  
Count : 3062784  
Expected: 10000000
```

```
csdev@m1:~/proj/frsproject/threads$ java CounterTest  
Count : 99213454  
Expected: 100000000  
csdev@m1:~/proj/frsproject/threads$ java CounterTest  
Count : 99754194  
Expected: 100000000  
csdev@m1:~/proj/frsproject/threads$ java CounterTest  
Count : 99697896  
Expected: 100000000
```

# Example: MiniDraw Animation

- ‘dirtyRectangle’ is a shared resource ☹

- Threads move the boxes
  - MiniDraw’s JFrame does the drawing
  - The rectangles are shared resources



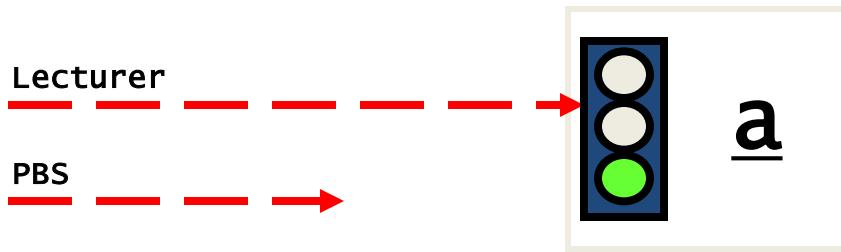
# Race Condition

- Race Condition:
  - If multiple threads *writes* to resources then the outcome is determined by the sequence/timing in which events occur.
  - **BAD!** You have no control of the behavior of your program; and the result is erroneous
    - Inserting 200 and withdrawing 100 on balance 50 must be **150**
    - Our particular execution gave **250**
    - The next one may give **-50**
- Critical Section:
  - The code section in which race conditions can occur

# The Solution

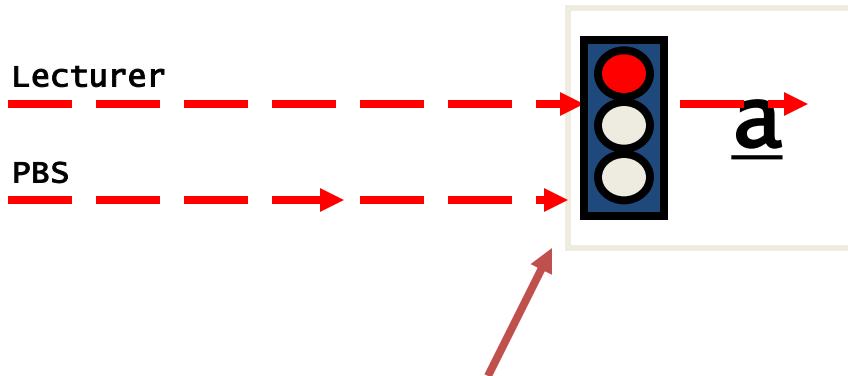
- *Critical sections must be treated as an atomic instruction*
  - *Da: "Udelelig adgang"*
- That is, only one thread is allowed to be executing in a critical region at a time...
- *Also called : Mutual exclusion*

- Guard critical regions
- Example:
  - Our two threads will try to invoke deposit() and withdraw()
- But our account object, a, has an "lock" associated
  - Only one thread may acquire the lock at any time!



The 'mutex'  
or 'semaphore'

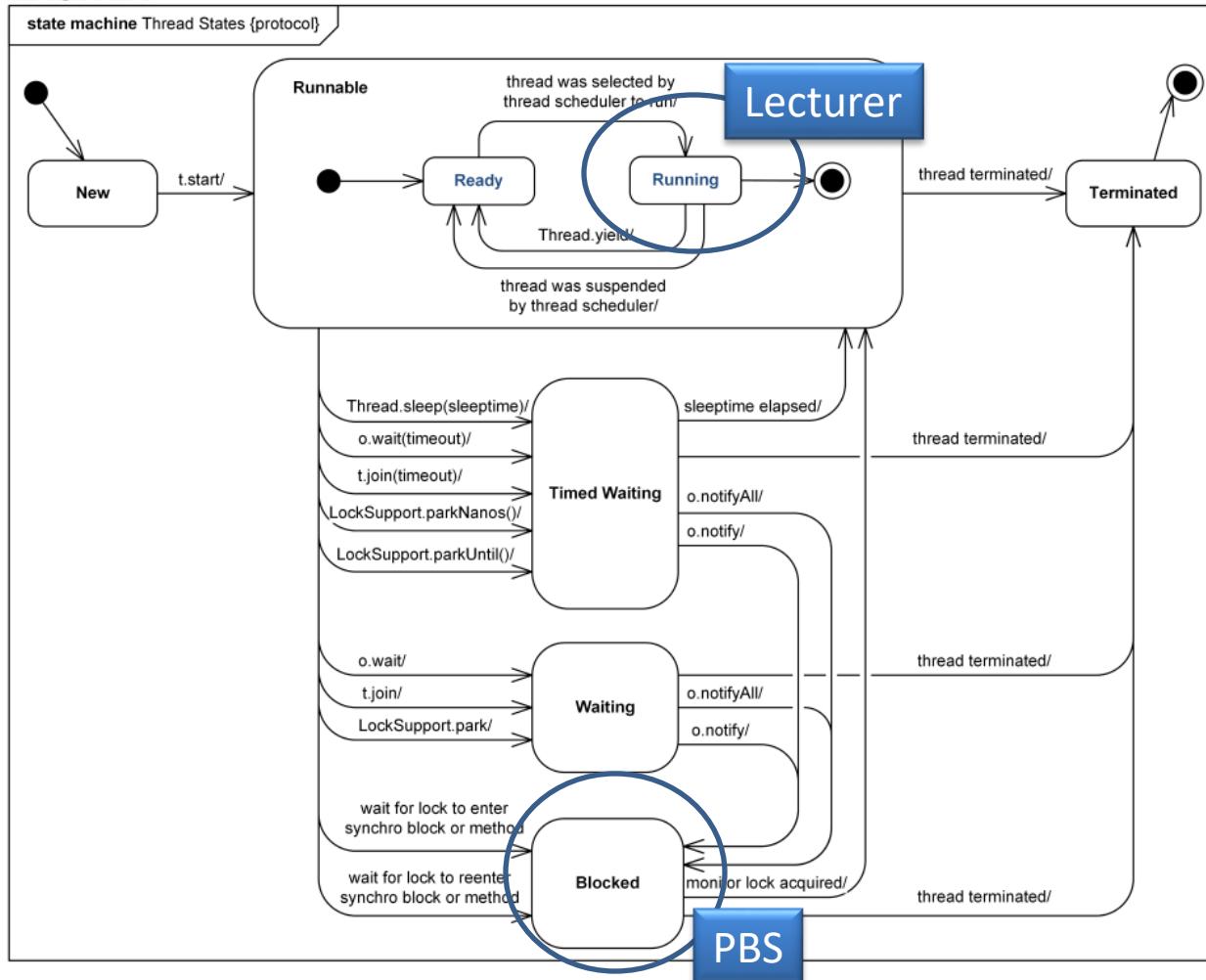
# In 'deposit()'



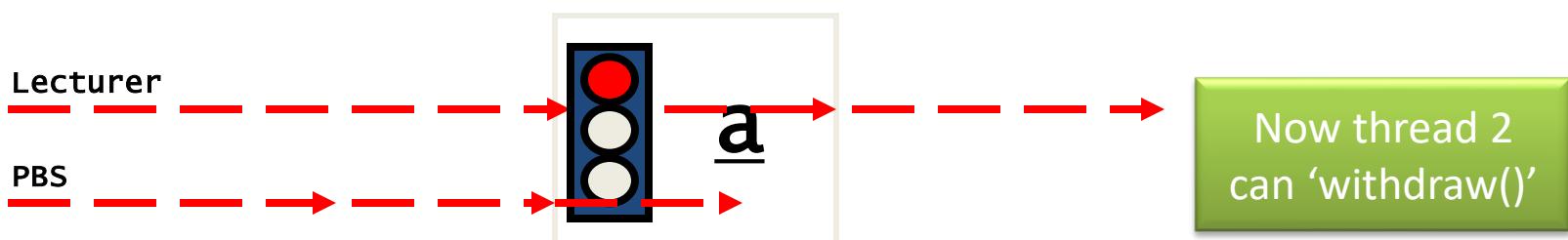
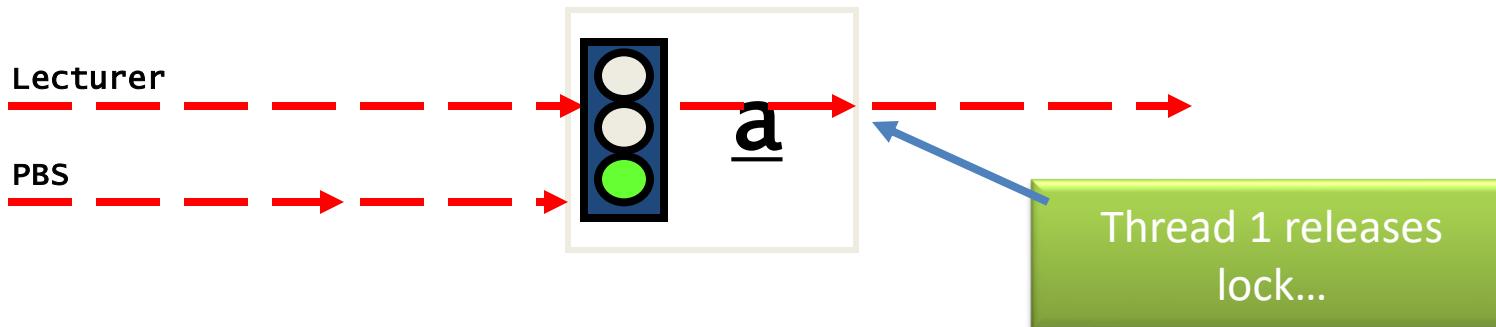
RUNNING state  
BLOCKED state

The PBS thread cannot acquire the 'lock' and thus start executing 'withdraw()'. It is simply put into a *blocking state*, and cannot continue until the lock is released!

# Thread State



# And next...



# Monitor/Synchronized

- A *Monitor* is a class whose methods are *all* associated with a lock/semaphore/"lysregulering"
  - Not available in Java
- In Java it is more fine-grained: **synchronized**
- Only methods with the **synchronized** keyword will respect the lock
- **There is only one lock per object !!!**
  - Not one per method!!!

# Synchronized

- Synchronized methods = whole method is a critical sect.

```
public synchronized void add(int value) {  
    this.count += value;  
}
```

- *Do not make too much code a critical section!*
  - It slows a program down if all threads have to wait for the lock
- Rule:
  - Only **writing** needs to be guarded
- Rule 2:
  - Oh yeah – and **reads** of items more than N bits
    - N = 16, 32, 64 - Depending upon your processor !!!
    - Corollary: Reading **objects must be a critical region**

Java is portable  
code?



# Synchronized Section

- You can simply state the object you want to sync on, on a smaller portion of the code!

```
public void add(int value){  
  
    synchronized(this){  
        this.count += value;  
    }  
}
```

# Example again:

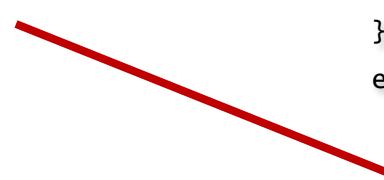
- Exercise: What should be synchronized?

```
class Counter {  
    private Integer count = new Integer(0);  
  
    public void incrementCount() {  
        count++;  
    }  
    public int getCount() {  
        return count;  
    }  
}
```

```
d:\proj\frsproject\concurrency>javac CounterTest.java  
d:\proj\frsproject\concurrency>java CounterTest  
Count : 10000000  
Expected: 10000000  
  
d:\proj\frsproject\concurrency>java CounterTest  
Count : 10000000  
Expected: 10000000  
  
d:\proj\frsproject\concurrency>java CounterTest  
Count : 10000000  
Expected: 10000000
```

# Server Side and Client Side

- So far, the object itself has stated its synchronization.
- Often, this is not ok.
- Consider this ATM code:
  - *Account.debit() is synchronized*
- *What is the problem???*



```
long available = account.getBalance();
if(available > 0){
    System.out.print("You have "+available+
        " available, how much do you want? ");
    long amount = keyboard.nextLong();
    if(amount <= 0){
        System.out.println("The amount must be positive.");
    }
    else if(amount > available){
        System.out.println("That is too much.");
    }
    else{
        // This should be ok, but ...
        if(!account.debit(amount)){
            System.out.println("The ATM debit failed!");
        }
    }
}
```

# Server Side and Client Side

- *What is the problem???*

```
long available = account.getBalance();
if(available > 0){
    System.out.print("You have "+available+
        " available, how much do you want? ");
    long amount = keyboard.nextLong();
    if(amount <= 0){
        System.out.println("The amount must be positive.");
    }
    else if(amount > available){
        System.out.println("That is too much.");
    }
    else{
        // This should be ok, but ...
        if(!account.debit(amount)){
            System.out.println("The ATM debit failed!");
        }
    }
}
```



# Client Side Synchronization

- Synchronized takes the object as parameter, thus
- ```
synchronized(account) {  
    <<<ATM code here>>>  
}
```
- Will solve it – it is executed atomically using the lock of the account...

# MiniDraw Client Side Sync

- MiniDraw, by default, does not lock the ‘Drawing’ during figure manipulation, but offers *client-side* synchronization via ‘locks’

```
public void updateStats() {  
    writeLock().lock();  
    try {  
        attackText.setText(" " + associatedCard.getAttack());  
        healthText.setText(" " + associatedCard.getHealth());  
    } finally {  
        writeLock().unlock();  
    }  
}
```



- Hey – did we not miss a thing here???

- Thread starts ‘deposit()’
    - Acquire the lock
  - Then calls ‘setBalance()’
    - But the lock is taken???

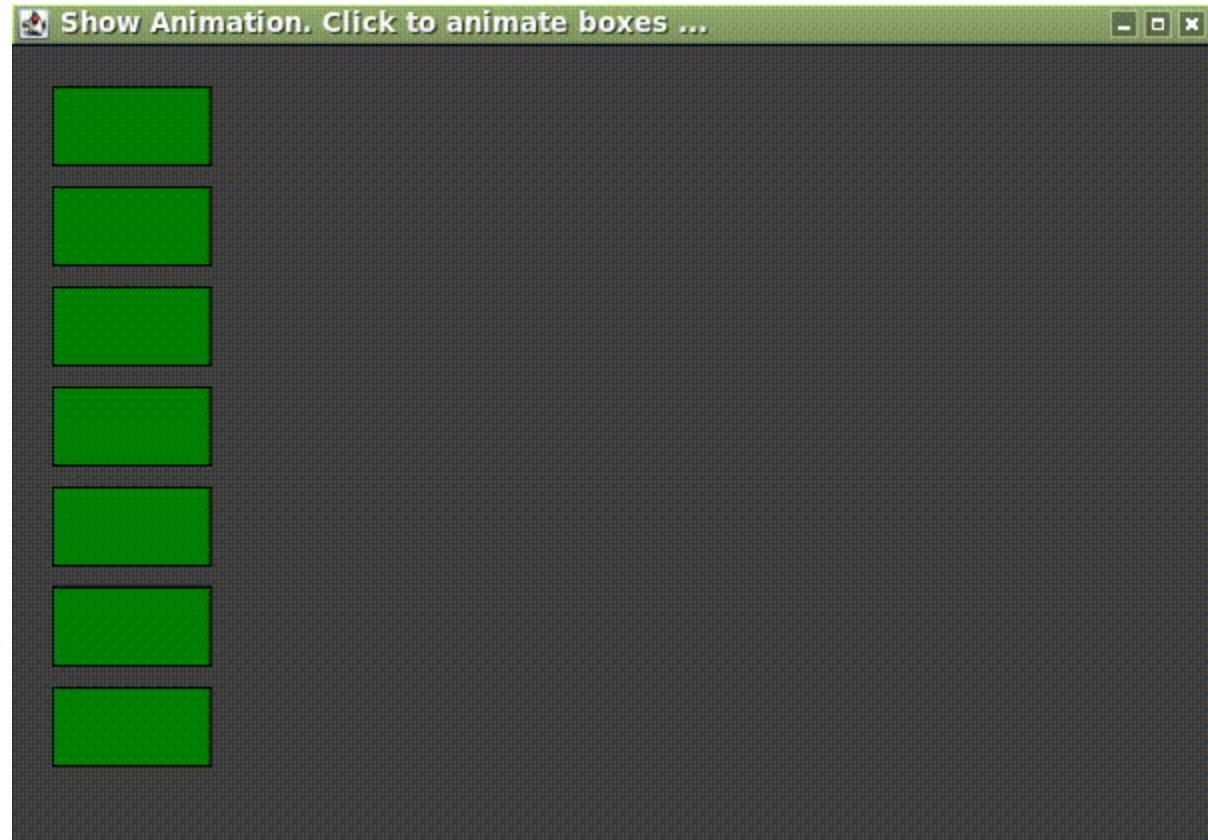
```
synch
public boolean deposit(long amount){
    if(amount >= 0){
        return setBalance(getBalance()+amount);
    }
    else {
        return false;
    }
}
```

- **Reentrant critical sections**

- If a thread ‘t’ has acquired the lock on object ‘a’, then it is free to invoke all other synchronized methods in ‘a’
    - Reentrance: The thread may *reenter* in locked methods
      - Recursion !

# Example: MiniDraw Animation

- Treating 'dirty rectangles' as critical region
- Single writer
- Multi reader





**And – moving on...**

- Java 5 onwards introduced ‘java.util.concurrent’ because the old ‘synchronized’ was way too simple...
  - A lot of concurrent data structures
  - An fine-grained ‘synchronized’ object: 

## Main Differences Between Locks and Synchronized Blocks

The main differences between a `Lock` and a synchronized block are:

- A synchronized block makes no guarantees about the sequence in which threads waiting to enter it are granted access.
- You cannot pass any parameters to the entry of a synchronized block. Thus, having a timeout trying to get access to a synchronized block is not possible.
- The synchronized block must be fully contained within a single method. A `Lock` can have its calls to `lock()` and `unlock()` in separate methods.

- Instead of ‘synchronized’ on incrementCount()

- Note:

- Explicit lock object!
  - Not on ‘this’...

- Can have more locks in play in same object!

```
class Counter {  
  
    private int count = 0;  
    private final ReentrantLock lock = new ReentrantLock();  
  
    public void incrementCount() {  
        lock.lock();  
        try {  
            count++;  
        } finally { lock.unlock(); }  
    }  
  
    public int getCount() {  
        return count;  
    }  
}
```

- Or
  - `tryLock(100 ms)`
  - Will time out waiting to get the lock
  - Avoid indefinite waiting for a lock that a frozen thread has taken!
- Liability
  - *Code readability suffers greatly!*
  - *Some day you will forget the finally clause* ☹

# The idiom

```
lock.lock();
try {
    // critical region
} finally {
    lock.unlock();
}
```



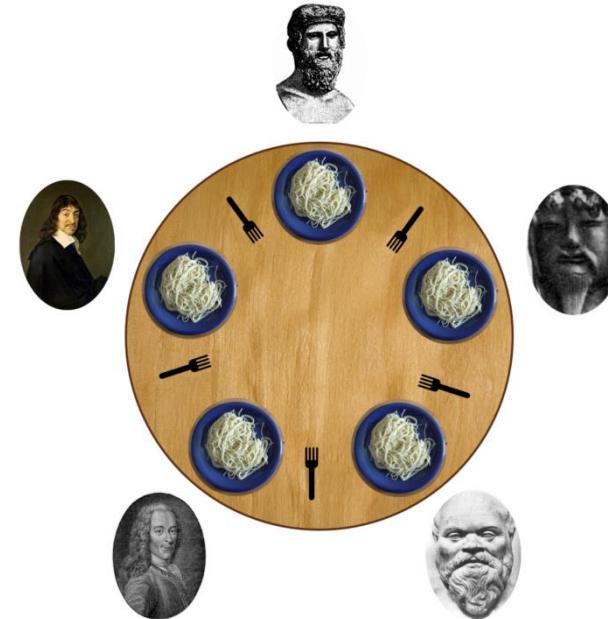
# Deadlocks

Availability AntiPattern:  
Blocked Threads

- **Deadlock**
  - *A thread waits infinitely for an event that will never happen*
- That is
  - T1, is in synchronized method in A, call sync. method in B
  - T2, has acquired lock on B, and waits for lock on C
  - ...
  - T4 waits for lock on A – which T1 has !
- Result
  - Utterly nothing!!!

```
Thread 1 locks A, waits for B
Thread 2 locks B, waits for C
Thread 3 locks C, waits for D
Thread 4 locks D, waits for A
```

- Edger Dijkstra, 1965: Dining Philosophers Problem
  - *Eat or think*
  - *To eat you need two forks*
- Design an algorithm that does not deadlock...



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# The General Rules of Thumb

- *Always acquire the locks in the same order*
  - Example: A, then B, then C

Note – does not work for the Philosophers!

- Only works if you know the order ahead of time

- *Use timeouts on locks*
  - *If timeout: free all locks, wait, and retry...*

Thread 1:

```
lock A  
lock B
```

Thread 2:

```
wait for A  
lock C (when A locked)
```

Thread 3:

```
wait for A  
wait for B  
wait for C
```